

INDIAN HOUSING PLAN

This form is for use by Tribes/TDHEs to identify the location of the required elements of the Indian Housing Plan

Double-click the **Go** button to move to the section in order to enter data.

Main Section	Sub-Section	Page	Go
Registration Information		2	▼
5-YEAR PLAN			
Mission Statement		3	▼
Goals and Objectives		4	▼
Activities Plan		6	▼
1-YEAR PLAN			
Goals and Objectives		7	▼
Statement of Needs			
	The estimated low-income family housing needs	9	▼
	The estimated housing needs for all families	10	▼
Financial Resources			
	Identification and description of financial resources	11	▼
	Uses of such resources	12	▼
Affordable Housing Resources:			
	The characteristics of the housing market	13	▼
	The structure/coordination/cooperation with other entities	14	▼
	The manner in which housing needs will be addressed	15	▼
	The manner in which 1937 Act housing will be protected/maintained	16	▼
	Existing/anticipated homeownership/rental programs	17	▼
	Existing/anticipated housing rehabilitation programs	18	▼
	All other existing/anticipated housing assistance	19	▼
	Housing to be demolished or disposed of	20	▼
	Coordination with tribal and State welfare agencies	21	▼
	The manner in which safety and resident involvement will be promoted	22	▼
	Organizational capacity & key personnel that will carry out IHP activities	23	▼
Performance Objectives		24	▼
Table 1 - Statement of Needs		26	▼
Table 2 - Financial Resources		29	▼
Table 3 - Housing Profile		31	▼
Other Submission Items			
		33	▼
Waiver Tracking			
		36	▼
Environmental Review			
		37	▼
Standard Certification of Compliance			
		38	▼
Tribal Certification			
		39	▼

INDIAN HOUSING PLAN

General Information:

Name of Tribe: Round Valley Indian Tribes

Tribal Chair: First Name: Carlino Last Name: Bettega

Telephone Number With Area Code: 707-983-6126

Tribal Street Address: 77826 Covelo Road

Tribal City: Covelo

Tribal State: CA

Tribal Zip: 95428

Tribal Fax # (if applicable): 707-983-6128

Tribal e-mail:

Name of TDHE (if applicable): Round Valley Indian Housing Authority
(Tribally Designated Housing Entity)

TDHE Contact Person: First Name: Karen Last Name: Breedlove

TDHE Phone Number With Area Code: 707-983-6188

TDHE Street Address: 115 B Concow

TDHE City: Covelo

TDHE State: CA

TDHE Zip: 95428

TDHE Fax # (if applicable): 707-983-8435

Other Information:

Federal Fiscal Year: 2010

Recipient Fiscal Year End Date: 09/30/2010 (MM/DD/YYYY)

Grant Number: 10IH0608630 Estimated Grant Amount: \$3,041,924.00 Actual Grant
Amount: \$3,041,924.00

5-YEAR INDIAN HOUSING PLAN

The 5-Year Plan section of the Indian Housing Plan must contain, with respect to the 5-year period beginning with the fiscal year for which the plan is submitted, the following information:

1. MISSION STATEMENT - A general statement of the mission of the Indian tribe to serve the needs of the low-income families in the jurisdiction of the Indian tribe, and outside the jurisdiction where tribal needs require consideration, during the 5-year period.

Please enter your Mission Statement here:

The Mission of the Round Valley Indian Housing Authority is to create affordable housing opportunities and safe, viable communities that enhance the quality of life for low-and moderate income tribal members: and, if tribal needs are met, for other Native American families residing within its jurisdiction. In the best interests of the Round Valley Tribes and the Round Valley Indian Housing Authority, every effort will be made to see that tribal members obtain economic benefits through Indian preference in procurement, contracting and employment.



(Double-Click button to return to top)

2. GOALS AND OBJECTIVES - A statement of the goals and objectives of the Indian tribe to enable the tribe to serve the needs identified in the mission statement.

Please enter your Goals and Objectives here:

Goal 1. Develop a broad range of housing opportunities for RVIHA Indian families.

Objective 1. Construct new rental and home ownership housing.

Objective 2. Provide home ownership opportunities for RVIHA tribal members including down payment assistance for eligible participants.

Objective 3. Renovate/rehabilitate substandard homes of RVIHA tribal members that do not meet current housing market standards and health regulations.

Objective 4. Provide innovative and flexible rental assistance programs for RVIHA tribal members in order to expand the range of housing opportunities.

Objective 5. Acquire and develop land as necessary.

Goal 2. Maintain existing 1937 Act housing stock.

Objective 1. Maintain a maintenance department for operation, maintenance and modernization of 1937 Act housing stock.

Objective 2. Coordinate and monitor housing services through the Maintenance Department and the Resident Housing Manager.

Objective 3. Operate and continuously refine the local maintenance program to ensure that all sites meet HUD regulations and TDHE policies.

Goal 3. Provide housing services.

Objective 1. Provide family services such as counseling, financial management training, home care and maintenance training as well as referral for employment and career training/opportunities.

Objective 2. Through the Building Horizon's Program provide during-school and after-school youth programs such as tutoring, mentoring, leadership training, cultural activities, athletic and recreational opportunities to enrich students in body, mind and spirit.

Objective 3. Continue over baseline Crime Prevention, Neighborhood Watch, Housing Security and safety programs. Improve and maintain ongoing relations with the Round Valley Indian Tribal Police and the Mendocino County Sheriff's Department to enhance community safety and well being.

Objective 4. Provide Temporary Relocation Services to families in need of housing to address homelessness and for emergency purposes that include but not limited to domestic violence, health and safety issues.

Goal 4. Construct a community center and recreational facility.

Objective 1. Provide a modern and spacious facility for community gatherings, community dining, nutrition and cultural activities.

Objective 2. Provide a modern facility for recreation, exercise, fitness and athletic activities.

Goal 5. Periodically review administrative office, maintenance facility and physical plant condition.

Objective 1. Rehabilitate, modernize, and expand administrative offices, maintenance facility and physical plant as necessary to keep in good repair.

Goal 6. Construct a new RVIHA Administrative Office and Maintenance Facility.

Objective 1. Plan for the construction of a new Administrative Office building, maintenance facility and force account lay down yard within the next five years.

Objective 2. Plan for the reuse of the existing facility to maximize RVIHA housing services, training and meeting facilities for residents.



(Double-Click button to return to top)

3. **ACTIVITIES PLAN** - An overview of the activities planned during the period including an analysis of the manner in which the activities will enable the tribe to meet its mission, goals, and objectives.

Please enter your Activities Plan here:

- Goal 1. Construct new low rent-housing units.
- Goal 2. Construct single-family dwellings for lease purchase and direct ownership.
- Goal 3. Create a program to construct single-family home ownership homes utilizing innovative approaches such as sweat-equity.
- Goal 4. Rehabilitate/modernize significantly substandard housing.
- Goal 5. Ensure continued ongoing maintenance of existing units of 1937 Act housing stock, grounds and facilities through utilization of a detailed maintenance program, routine scheduled inspections, and modernization to meet or exceed current housing standards.
- Goal 6. Construct a community center/recreation facility.
- Goal 7. Continue to operate a Work Force crew.
- Goal 8. Review condition of administrative offices, maintenance facility and physical plant.
- Goal 9. Rehabilitate the Skyline Trailer Park for low-income rentals and homeownership opportunities. Develop a plan for the phased rehabilitation of existing park.
- Goal 10. Plan for the construction of a new RVIHA Administrative Office building.



(Double-Click button to return to top)

2. STATEMENT OF NEEDS - A statement of the housing needs of the low-income Indian families residing in the jurisdiction of the Indian tribe, and outside the jurisdiction where tribal needs require consideration, and the means by which such needs will be addressed during the 1-year period, including a description of:

- a. the estimated housing needs and the need for assistance for the low-income Indian families in the jurisdiction, and outside the jurisdiction where tribal needs require consideration, including a description of the manner in which the geographical distribution of assistance is consistent with the geographical needs and needs for various categories of housing assistance; and

Please enter your Low-income Housing Needs here:

Estimated housing needs are the same as identified on Table 1 herein.



(Double-Click button to return to top)

b. the estimated housing needs for all Indian families in the jurisdiction.

Please enter your Overall Housing Needs here:

Estimated housing needs are the same as identified on Table 1



(Double-Click button to return to top)

3. FINANCIAL RESOURCES- An operating budget for the recipient, in a form prescribed by the Secretary, including:

a. an identification and a description of the financial resources reasonably available to the recipient to carry out the purposes of NAHASDA, including an explanation of the manner in which amounts made available will leverage additional resources; and

Please enter your Identification and Description here:

FY 2010 NAHASDA Block Grant in the amount of \$3,041,924.00
Program Income from NAHASDA rental units of \$9,600.00



(Double-Click button to return to top)

b. the uses to which such resources will be committed, including eligible and required affordable housing activities under title II of NAHASDA and administrative expenses.

Please enter the Uses of Such Resources here:

<u>Indian Housing Assistance - 1937 Stock</u>	
a. Modernization	\$ 40,000.00
b. Operating	\$512,020.00
<u>Development</u>	
<u>Rental</u>	
1. Construction of New Units	\$ -0-
2. Acquisition	\$1,012,672.00
3. Rehabilitation	\$ - 0 -
<u>Homeownership</u>	
1. Construction of New Units	\$ - 0 -
2. Acquisition	\$ 250,000.00
3. Rehabilitation	\$ 234,752.00
<u>Housing Services</u>	\$ 142,160.00
Housing Management Services	\$ 10,000.00
Crime Prevention & Public Safety	\$ 241,535.20
<u>Planning and Administration</u>	\$ 608,384.80
Administrative and fiscal support staff, facilities, office supplies, communications, technical and professional services, office equipment contracts and maintenance, consultant and TA services, as well as other costs necessary to efficiently and effectively administer the program not to exceed 20% of grant award.	
<u>TOTAL</u>	<u>\$3,051,524.00</u>



(Double-Click button to return to top)

4. AFFORDABLE HOUSING RESOURCES- A statement of the affordable housing resources currently available and to be made available during the period, including a description of:

- a. the significant characteristics of the housing market in the jurisdiction, including the availability of housing from other public sources, private market housing, and the manner in which such characteristics influence the decision of the recipient to use grant amounts to be provided under this Act for rental assistance, production of new units, acquisition of existing units, or rehabilitation of units;

Please enter the characteristics of the housing market here:

Since over 60% of the RVIHA tribal members live off the reservation, the Formula Area of the Round Valley Indian Tribes also encompasses an area outside of the reservation within Humboldt, Lake, Mendocino, Sacramento and Sonoma Counties. A comprehensive needs assessment has commenced for off reservation members to determine the housing conditions outside of the reservation. It is of course apparent that housing opportunities for higher income families is much greater off the reservation than on, while lower income families have little housing opportunities regardless of where they live.

The RVIHA has constructed up to 30 HUD Elder's Housing Units. The BIA Housing Improvement Program (HIP) has added another approximately 30 homeownership units. Besides the 112 low-rent and mutual help homes constructed from 1976 thru 1980, as a result of the 1971 Comprehensive Plan, no other low-rent homes or single-family homeownership developments have been constructed until the beginning of Tribal Winds. Homes on lots in the town of Covelo continue to sell between \$145,000 and \$350,000 depending upon condition of home and lot. Homes with acreage sell for \$400,000 or more. Rent has maintained over the past year at a rate of \$800 - \$1,000 monthly for one and two bedroom units. The Tribal Winds Development will provide up to 20 units of single-family housing.



(Double-Click button to return to top)

b. the structure, coordination, and means of cooperation between the recipient and any other governmental entities in the development, submission, or implementation of housing plans, including a description of the involvement of private, public, and nonprofit organizations and institutions, and the use of loan guarantees under section 184 of the Housing and Community Development Act of 1992, and other housing assistance provided by the Federal Government for Indian tribes, including loans, grants, and mortgage insurance;

Please enter the structure/coordination/cooperation with other entities here:

The RVIHA will continue to aggressively seek additional resources through the US Department of Commerce, Treasury, the US Department of Agriculture Rural Development Programs (USDARD), Fannie Mae, the Federal Home Loan Bank, HUD's Section 184 loan guarantees, NAHASDA Title VI funding, the SuperNOFA, Bureau of Indian Affairs (BIA), Indian Health Service (IHS), California Housing Finance Agency (CHFA) for Low Income Housing Tax Credits (LIHTC), the Rural Communities Assistance Corporation (RCAC), the Rural Communities Housing Development Corporation (RCHDC), the state CDFI (Community Development Financial Institution) and the MPIC (Mendocino County Private Industry Council); as well as seek other non-traditional funding sources.

RVIHA will continue to work in conjunction with MPIC's to utilize the technology and employment resources. In addition, we will work with the California Indian Manpower Consortium (CIMC), one of our leading youth employment agencies that offer on the job training. We will preserve a working relationship with the Tribal Aide to Needy Families (TANF) program as it also provides on the job training services as well as emergency housing assistance.

The National American Indian Housing Council (NAIHC) has proven to be a tremendous asset in developing capacity within the RVIHA. We will continue to work with NAIHC to provide us with onsite Technical Assistance training.



(Double-Click button to return to top)

c. the manner in which the plan will address the needs identified pursuant to the Statement of Needs Section in paragraph 2;

Please enter the manner in which housing needs will be addressed here:

According to the Statement of Needs, 145 families live in substandard or overcrowded housing conditions, 95% of which are low-income. The Comprehensive Needs Assessment will be an invaluable tool in guiding our decision-making and allocation of resources.

The Statement of Needs also reflects an unmet need with respect to the rehabilitation of existing housing of 169 units. The RVIHA has completed 68 rehab projects both within and outside of the valley.

Ongoing construction of single family units provides permanent housing for these families.

The RVIHA's Homebuyer's Assistance Program wherein we provide between \$27,000 and \$45,000, depending upon the cost-of-living in the County of residence, to qualified tribal members as down payment assistance towards the purchase of a home as their primary residence. The RVIHA's Homebuyer's Assistance Program has already assisted 37 tribal members in becoming homeowners.

In Addition to homeownership programs the RVIHA offers an Annual Housing Assistance Program to qualified tribal members living on the reservation, this program was designed to purchase 1 home per year to a qualified family. To date we have assisted 2 Tribal members.



(Double-Click button to return to top)

d. the manner in which the recipient will protect and maintain the viability of housing owned and operated by the recipient that was developed under a contract between the Secretary and an Indian housing authority pursuant to the United States Housing Act of 1937;

Please enter the manner in which 1937 Act housing will be protected/maintained here:

The RVIHA will continue to maintain and modernize the 1937 Housing stock through inspections and support services, including but not limited to training tenants to assume more responsibilities in the overall maintenance of housing and grounds, developing community/neighborhood watch groups to prevent and curtail crime and violence in the community, and coordination of other community and support services to motivate tenants toward sustainable employment, job training and educational attainment.



(Double-Click button to return to top)

e. any existing and anticipated homeownership programs and rental programs to be carried out during the period, and the requirements and assistance available under such programs;

Please enter your Existing/anticipated homeownership/rental programs here:

The Tribe administers Housing Programs for 1937 Act homeownership units, 1937 Act rental units, and NAHASDA units. Program descriptions, participation, and assistance available are as follows:

A. 1937 Act Homeownership Program

The 1937 Homeownership units were constructed with a HUD grant under the TIHD program. Units are leased with an option to purchase by program eligible participants under a 25-year homebuyer agreement (MHOA). Participation is limited to low-income Native American families and individuals, in accordance with HUD published income limits. These units are in the process of being conveyed.

B. 1937 Act Rental Program

These units were developed under the TIHD program. Participation is limited to low-income Native Americans. Monthly payments are calculated at 30% of adjusted income not to exceed the flat rate set per unit size.

C. NAHASDA Assisted Rental Units

The RVIHA has developed NAHASDA-assisted rental units. Participation is limited to low-income Native Americans. Monthly payments are calculated at 30% of adjusted income not to exceed the flat rate set per unit size.

D. NAHASDA Assisted Homeownership Units

The program is administered according to NAHASDA eligibility and participation requirements. Participation is limited to low-income Native Americans. Assistance is provided in the form of a loan or a grant; repayment may or may not be required.

E. Annual Homeownership Program

The RVIHA offers an Annual Housing Assistance Program to qualified tribal members living on the reservation; this program was designed to purchase 1 home per year to a qualified family.



(Double-Click button to return to top)

f. any existing and anticipated housing rehabilitation programs necessary to ensure the long-term viability of the housing to be carried out during the period, and the requirements and assistance available under such programs;

Please enter your Existing/anticipated housing rehabilitation programs here:

The RVIHA has programs for homeownership & rental rehabilitation that are administered in accordance with guidelines established under the 1937 Housing Act.
Participation is limited to low-income Native Americans.
Assistance is provided in the form of a loan or grant and results in lowered housing rehab costs for participants. Repayment may or may not be required.



(Double-Click button to return to top)

g. all other existing or anticipated housing assistance provided by the recipient during the period, including transitional housing, homeless housing, college housing, supportive services housing, and the requirements and assistance available under such programs;

Please enter other existing/anticipated housing assistance here:

Type of Program Provided	Participation Requirements	Assistance
1. Homeless Assistance	Homeless TM living in RV. Service Area	Temp Hsn Grant/Loan
2. Rehab Temp. Relocation	RV Housing Program Participant	Temp Modular Home Use
3. Move-in Assistance	New RV Housing Program Participant	Grant/Loan
4. Emergency Assist	Income Qualified RV Service Area Res.	Grant/Loan

NA=Native American
RV=Round Valley
TM=Tribal Member

Program participation is limited to low-income Native Americans living within the service areas. Assistance is provided in the form of a loan or grant and results in lowered housing costs for participants. Repayment may or may not be required.



(Double-Click button to return to top)

h. any housing to be demolished or disposed of, a timetable for such demolition or disposition, and any other information required by the Secretary with respect to such demolition or disposition;

Please enter the Housing to be demolished or disposed of here:

None



(Double-Click button to return to top)

- i. the manner in which the recipient will coordinate with tribal and State welfare agencies to ensure that residents of such housing will be provided with access to resources to assist in obtaining employment and achieving self-sufficiency;

Please enter your Coordination with tribal and State welfare agencies here:

Intergovernmental and interagency coordination will be effected to ensure that residents of housing are provided access to services and all available resources. The RVIHA will continue to work closely with local social service agencies to ensure that RVIHA staff is made aware of any programs, which could be of benefit to the program participants. Program information will be made available at the housing authority office, and information will be included in the RVIHA newsletters.



(Double-Click button to return to top)

j. the requirements established by the recipient to promote the safety of residents of such housing, facilitate the undertaking of crime prevention measures, allow resident input and involvement, including the establishment of resident organizations, and allow for the coordination of crime prevention activities between the recipient and tribal and local law enforcement officials; and

Please enter the manner in which safety and resident involvement will be promoted here:

The Building Horizons Manager, Facilitators, Resident Housing Manager, Maintenance Manager, as a team, will continue the Neighborhood Watch groups to prevent crime and promote community safety. The Neighborhood Watch meetings continue to be popular and have resulted in a number of safety improvements.

The RVIHA has hired 1 full time security officer. This individual coordinates with Tribal and local law enforcement.

Space is provided to the Mendocino County Sheriff's Department and the Round Valley Tribal Police within the Building Horizons building. Bi-monthly community meetings are held to continue coordination efforts in addressing community safety issues. The police departments have been working closely with the RVIHA on the Neighborhood Watch and to create a Community Policing concept.

Working as a team, the Resident Housing Manager, Maintenance Manager, and the Building Horizons Program staff will promote and engage RVIHA families in active involvement and support services designed to improve safety, increase drug and alcohol awareness and encourage residents in taking responsibility for their community.



(Double-Click button to return to top)

k. the entity that will carry out the activities under the plan, including the organizational capacity and key personnel of the entity.

Please enter your Organizational capacity & key personnel that will carry out IHP activities here:

A Board of Commissioners governs the Round Valley Indian Housing Authority (RVIHA). The Executive Director (ED) administers the housing program on a daily basis. The ED is assisted by key personnel of the RVIHA, including the Financial Officer, Resident Housing Manager and Housing Programs Specialist. The RVIHA has successfully implemented HUD housing programs since its inception including the '37 Housing Act and NAHASDA programs.



(Double-Click button to return to top)

5. PERFORMANCE OBJECTIVES In accordance with 24 CFR 1000.504, performance objectives are to be developed by each recipient and included in this 1-year plan. Performance objectives are criteria by which the recipient will monitor and evaluate its performance; provide quantitative measurements by which the recipient will gauge its performance; and determine the impact and benefit the grant beneficiary derives from the accomplishments obtained under the plan. For example, if in the IHP, the recipient indicates it will build new houses, the performance objective may be the completion of the homes within a certain time period and within a certain budgeted amount, and measure the number of tribal members and families served.

Please enter your Performance Objectives here:

1998-2003 CLOSED

2004 - CLOSED PENDING HUD APPROVAL

2005-2010 IHPs: These Performance objectives are ongoing throughout all funding years; we will attempt to complete all performance objectives by fiscal year end September 30 2011. As these are ongoing objectives we will continue to measure the effective of the program.

Performance Objective 1.

RVIHA will continue to maintain and modernize the 1937 Act housing stock through inspections, monthly tenant meetings and annual recertifications. This will be an on-going process. We will plan and implement rehabilitation and the modernization of the RVIHA office and Nome Cult (Piner Subdivision) Park.

Performance Objective 2.

Continue with Force Account work force composed of tribal members to work on modernization of 1937 Act housing, rehabilitation of Indian owned housing, construction of new housing and as needed.

Performance Objective 3.

Continue to operate and enhance housing services through the Rehabilitation, Rental Assistance and Down-payment Assistance Programs. RVIHA will provide Home Buyer Education Classes and Financial Management Classes. Program Staff provides financing information and assistance to applicants. Policies that govern these programs will be reviewed annually and updated or revised as necessary.

Performance Objective 4.

RVIHA will continue to operate the Building Horizons Program for youth activities, educational and community service projects. The Building Horizons Program maintains a local chapter of UNITY, teaching and emphasizing youth leadership development. The Building Horizons Program will also pursue the development of a Boys & Girls Club chapter or that of a similar organization. The Building Horizons program is an ongoing activity.

Performance Objective 5.

The Resident Housing Manager, Maintenance Manager, Executive Director and the Housing Program Specialist will collaborate as necessary to provide Housing Services for RVIHA families for capacity building and education of residents in routine maintenance and care of their homes. This will be an ongoing activity.

Performance Objective 6.

Construct up to 20 low-rent single-family homes on the new development site. This will serve up to 20 families. 20 lots have been developed for rental and/or homeownership construction.

Performance Objective 7.

Begin cleaning and renovation of the Skyline Trailer Park. Complete the fee to trust process. Coordinate a master plan for the development of Skyline Trailer Park with other possible uses. With renovations this park could provide up to 29 mobile home or manufactured home rental sites.

Performance Objective 8.

Plan and develop an Emergency Response Team to integrate with the RVIHA Security Force.



(Double-Click button to return to top)

**TABLE 1
STATEMENT OF NEEDS**

Name of Tribe: Round Valley Indian Tribes
 Name of TDHE (if applicable): Round Valley Indian Housing Authority
 Federal Fiscal Year: 2010

Tribal/TDHE Program Year: 2010
Indian Area Round Valley Indian Reservation



(Double-Click button to return to top)

PART I: INDIAN AREA/TRIBAL PROFILE					
Person and Family Categories		All Indians in the Indian Area			
		All Income Levels	Low-Income		
column a		column b	column c		
1. Total Indian Population		3774			
2. Number of Indian Families		1013	851		
3. Number of Elderly Indian Families		64	64		
4. Number of Near-Elderly Indian Families		34	34		
5. Number of Indian Families Living in Substandard Housing		145	138		
6. Number of Indian Families Living in Over-Crowded Conditions		87	87		
PART II: OTHER INDIAN AREA/TRIBAL PROFILE (OPTIONAL)					
Person and Family Categories		All Income Levels	Low-Income		
7.	a.				
	b.				
	c.				
	d.				

	e.				
--	----	--	--	--	--

Table 1 Statement of Needs continued

PART III: CURRENT STATUS AND FUTURE NEEDS					
Type of Housing		Existing Housing	Unmet Needs for All Indian Families	Unmet Needs for Low-Income Indian Families	
column a		column b	column c	column d	
8. Rental Housing	a. Number of Units	65	83	83	
	b. Number of Units Needing Rehabilitation	20			
9. Homeowner Housing	a. Number of Units	265	183	126	
	b. Number of Units Needing Rehabilitation	165			
10. Supportive Service Housing (# of units)		3	8	8	
11. College Housing (# of units)		0	0	0	
12. Transitional Housing (# of units)		0	0	0	
13. Homeless Housing (# of beds)		0	8	8	
PART IV: OTHER CURRENT STATUS AND FUTURE NEEDS (OPTIONAL)					
14.	a.				
	b.				
	c.				
	d.				
	e.				

Data Source:

**TABLE 2
FINANCIAL RESOURCES**

Name of Tribe: Round Valley Indian Tribes of the Round Valley
Reservation
Name of TDHE (if applicable): Round Valley Indian Housing Authority
Federal Fiscal Year: 2010
Tribal/TDHE Program Year: 2010



(Double-Click button to return to top)

PART I: SOURCES OF FUNDS FOR NAHASDA ACTIVITIES	
Sources of Funds	Planned Amount
column a	column b
1. HUD Resources	
a. NAHASDA Block Grant	\$3,041,924.00
b. NAHASDA Program Income	\$9,600.00
c. NAHASDA Title VI	\$0.00
d. Section 184 Loan Guarantee	
e. Indian Community Development Block Grant	
f. Drug Elimination Grants and Drug Technical Assistance	
g. Prior Year Funds	
h. Other	
2. Existing Program Resources	
a. 1937 Housing Act Programs	
b. Other HUD Programs	
3. Other Federal or State Resources	
a. BIA Home Improvement Program	
b. Other	
4. Private Resources	
a. Tribal Contributions for affordable housing	
b. Financial Institution	
c. Other	
5. Other	
6. Total Resources	\$3,051,524.00

Table 2 Financial Resources Continued

PART II: ALLOCATION OF FUNDS FOR NAHASDA ACTIVITIES				
Activity		Planned		
		Budgeted Amount	Number of Units	Number of Families
column a		column b	column c	column d
7. Indian Housing Assistance				
a. Modernization (1937 Housing Act)		\$40,000.00	49	49
b. Operating (1937 Housing Act)		\$512,020.00	49	49
8. Development				
a. Rental	1. Construction of new units	\$0.00	0	0
	2. Acquisition	\$1,012,672.00	3	3
	3. Rehabilitation			
b. Homeownership	1. Construction of new units			
	2. Acquisition	\$250,000.00	4	4
	3. Rehabilitation	\$234,752.00	4	4
9. Housing Services		\$142,160.00		40
10. Housing Management Services		\$10,000.00		
11. Crime Prevention and Safety		\$241,535.20		
12. Model Activities (specify below)				
a.				
13. Planning and Administration		\$608,384.80		
14. Reserves		\$0.00		
15. Other		\$0.00		0
16. Total		\$3,051,524.00	105	145

**TABLE 3
HOUSING PROFILE**

Name of Tribe: Round Valley Indian Tribes
 Name of TDHE (if applicable): Round Valley Indian Housing Authority
 Federal Fiscal Year: 2010
 Tribal/TDHE Program Year: 2010



(Double-Click button to return to top)

PART I: 1937 HOUSING ACT INVENTORY UNDER MANAGEMENT		
Housing Inventory	Number of Units (Subtotal)	Number of Units (Total)
column a	column b	column c
1. Mutual Help Units Under Management as of September 30	57	
2. Low Rent Units Under Management as of September 30	49	
3. Turnkey III Units Under Management as of September 30		
4. Total Beginning 1937 Housing Act Inventory		106
5. Units Planned to be Demolished or Disposed of During Plan Period	a. Mutual Help	0
	b. Low Rent	0
	c. Turnkey III	0
	d. Total	
6. Units Planned to be Conveyed During Plan Period	a. Mutual Help	57
	b. Low Rent	0
	c. Turnkey III	0
	d. Total	
7. Total Planned Ending 1937 Housing Act Inventory as of September 30		49
PART II: 1937 HOUSING ACT INVENTORY IN THE DEVELOPMENT PIPELINE		

8. Mutual Help Units in the Pipeline as of September 30	0	
9. Low Rent Units in the Pipeline as of September 30	0	
10. Total Units in Pipeline as of September 30		0
PART III: SECTION 8 VOUCHERS AND CERTIFICATES		
11. Section 8 Vouchers and Certificates as of September 30		0
PART IV: NAHASDA UNITS		
12. Total NAHASDA Units as of September 30	16	

OTHER SUBMISSIONS

Recipients of NAHASDA funds are required to prepare and submit the following information:

1. Useful Life

Sections 205(a)(2) and 209 of NAHASDA requires that housing remain affordable. Each recipient will determine the "useful life" period for its units. The useful life of each assisted housing unit in each development must be described. This information may be described here or in the affordable housing resources section of the 1-year plan.

Please enter your Useful Life Information:

Activity Useful Life	
Rehabilitation/acquisition of existing housing	
Under \$5,000	6 months
\$5,001 - \$15,000	5 years
\$15,001 - \$40,000	10 years
Over \$40,001	15 years
New construction/acquisition of newly built housing 20 years	

2. Model Housing Activities

Recipients are required to submit proposals to operate model housing activities or other housing programs as defined in NAHASDA sections 201(b)(2) and 202(6) for non low-income families. If a model housing activity is to be undertaken during the 1-year plan period, proposals may be included here, in the affordable housing resources section of the 1-year plan, or as a separate submission. All proposals must be approved by the Secretary prior to beginning any model housing activity.

Please enter your Model Housing Activities here:

N/A

3. Tribal and other Indian Preference

Section 201(b)(4) of NAHASDA allows preference for tribal members and other Indian families. If preference will be given to tribal members or other Indian families, the preference policy must be described. This information may be provided here or in the affordable housing resources section of the 1-year plan.

Please enter your preference policy here:

--

It is the intention of the Round Valley Indian Housing Authority, as it relates to providing housing services, to respect the wishes of the Round Valley Indian Tribes and its members regarding Indian Preference. The Round Valley Indian Tribes have expressed a desire to establish preferences with respect to their own tribal members. All preference requirements will continue to be specified in the policies of the RVIHA, as approved by the Board of Commissioners. First priority is given to enrolled, eligible tribal members

4. Planning and Administration

In accordance with the Final Rule, state the percentage of annual grant funds which will be used for planning and administrative purposes. If the amount is over 20 percent of the annual grant amount, HUD approval is required.

Please enter your planning and administration here:

\$608,384.80 will be used for planning and administration. This does not exceed HUD 20% limit.

5. Minimal Funding

As stated in the Final Rule, the first year of NAHASDA participation, a tribe whose allocation is less than \$50,000 under the need component of the formula shall have its need component of the grant adjusted to \$50,000. Certify here that there is a need for funding.

Please enter your minimal funding here:

N/A

6. Method of Payment

In accordance with the Final Rule, state the method of payment the tribe/THDE will use to request its grant funds.

Please enter your method of payment here:

The Round Valley Indian Housing Authority will utilize the LOCCS system for requesting grant funds.



(Double-Click button to return to top)

WAIVER REQUESTS

Please indicate here if you have met the regulatory requirements for a waiver of a section and request a waiver for that section.

Please enter your Waiver Request Comments here:

N/A



(Double-Click button to return to top)

<u>Main Section</u>	<u>Sub-Section</u>	<u>Waiver Request?</u>
5-YEAR PLAN		
Mission Statement		No
Goals and Objectives		No
Activities Plan		No
1-YEAR PLAN		
Goals and Objectives		No
Statement of Needs		
	The estimated low-income family housing needs	No
	The estimated housing needs for all families	No
Financial Resources		
	Identification and description of financial resources	No
	Uses of such resources	No
Affordable Housing Resources:		
	The characteristics of the housing market	No
	The structure/coordination/cooperation with other entities	No
	The manner in which housing needs will be addressed	No
	The manner in which 1937 Act housing will be protected/maintained	No
	Existing/anticipated homeownership/rental programs	No
	Existing/anticipated housing rehabilitation programs	No
	All other existing/anticipated housing assistance	No
	Housing to be demolished or disposed of	No
	Coordination with tribal and State welfare agencies	No
	The manner in which safety and resident involvement will be promoted	No
	Organizational capacity & key personnel that will carry out IHP activities	No
Performance Objectives		No
Table 1 - Statement of Needs		No
Table 2 - Financial Resources		No
Table 3 - Housing Profile		No

NATIVE AMERICAN HOUSING ASSISTANCE AND SELF-DETERMINATION ACT
ENVIRONMENTAL REVIEW - EXPRESSION OF INTENT

To facilitate the completion of environmental review responsibilities under the Indian Housing Block Grant program, this Expression of Intent will provide HUD with information needed to assist the tribe in completion of these activities and to schedule resources needed to complete environmental review responsibilities. Environmental reviews are completed for individual activities included in the Indian Housing Plan. The tribe can choose to complete the environmental review for some or all activities and can decide at a later date to change its decision.

Yes The tribe plans to assume the status of a Federal official under the National Environmental Policy Act of 1969 and the other provisions of law listed in 24 CFR 58.5 insofar as the provisions of the Act and such other provisions of law apply to the Indian tribe's proposed program pursuant to 24 CFR part 58.

No The tribe plans to request HUD to fulfill environmental review responsibilities stated above pursuant to 24 CFR part 50.

No The tribe plans to work with HUD and provide information and studies to HUD to allow HUD to fulfill environmental review responsibilities stated above pursuant to 24 CFR part 50.

No The tribe plans to assume the responsibilities stated above except for the following listed activities for which it will request HUD to fulfill the environmental review responsibilities.



NATIVE AMERICAN HOUSING ASSISTANCE AND SELF-DETERMINATION ACT
INDIAN HOUSING PLAN CERTIFICATION OF COMPLIANCE

This certification is for use with the Indian Housing Plan (IHP). If the IHP is prepared and submitted by a Tribally Designated Housing Entity on behalf of a tribe, it must be accompanied by a tribal certification from the recognized tribal government covered under the IHP. In accordance with the applicable statutes, the recipient certifies that:

In accordance with the applicable statutes, the recipient certifies that:

Yes (A) it will comply with title II of the Civil Rights Act of 1968 in carrying out this Act, to the extent that such title is applicable, and other applicable Federal statutes;

The following certifications will only apply where applicable based on program activities

Yes (B) it will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under the Native American Housing and Self-Determination Act of 1996 (the Act), in compliance with such requirements as may be established by the Secretary;

Yes (C) policies are in effect and are available for review by the Secretary and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under the Act;

Yes (D) policies are in effect and are available for review by the Secretary and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under the Act; and

Yes (E) policies are in effect and are available for review by the Secretary and the public governing the management and maintenance of housing assisted with grant amounts provided under the Act.

No Authorized Official Certification To Above Information

Date: (MM/DD/YYYY)

Authorized Official's Title:



NATIVE AMERICAN HOUSING ASSISTANCE AND SELF-DETERMINATION ACT
INDIAN HOUSING PLAN TRIBAL CERTIFICATION

This certification is for use with the Indian Housing Plan (IHP) when a Tribally Designated Housing Entity (TDHE) prepares the IHP on behalf of an Indian tribe. This certification must be executed by the recognized tribal government covered under the IHP.

The recognized tribal government of the grant beneficiary certifies that:

Yes (1) it had an opportunity to review the IHP and has authorized the submission of the IHP by the housing entity; or

No (2) it has delegated to such TDHE the authority to submit an IHP and amendments on behalf of the tribe without prior review by the tribe.

No Authorized Official Certification To Above Information

Date: (MM/DD/YYYY)

Authorized Official's Title:

