

Annual Performance Report

These forms meet the minimum requirements for an Annual Performance Report (APR) required by the United States Department of Housing and Urban Development. In addition to these minimum requirements, a tribe/tribally designated housing entity (TDHE) may elect to prepare a more comprehensive APR. If a tribe/TDHE elects to prepare a more comprehensive report, the required elements of this APR must still be submitted on the prescribed HUD forms.

Annual Performance Report

Under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4104 et seq.) HUD will provide grants, loan guarantees, and technical assistance to Indian tribes and Alaskan Native villages for the development and operation of low-income housing in Indian areas. Grants will be made to eligible recipients under the Indian Housing Block Grant Program. To be eligible for the grants, respondents must submit an Indian Housing Plan that meets the minimum requirements of the Act, consult with residents, prepare Title VI application/certification, submit performance reports, and maintain records for HUD monitoring and audit review.

Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Recipients of Indian Housing Block Grant (IHBG) program funds are required to submit an Annual Performance Report (APR) to HUD within 60 days of the end of their program year. Statutory reference is contained in the Native American Housing Assistance and Self-Determination Act (NAHASDA) of 1996, as amended, *Sec. 404 Performance Reports*. The regulatory reference is found at 24 CFR Part 1000, sections 512 through 521. Response to the Annual Performance Report is mandatory and is required by Sections 403 and 404 of NAHASDA and by the regulations at 24 CFR 1000.

The information requested does not lend itself to confidentiality.

This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Annual Performance Report

Recipients of NAHASDA funds are required to prepare and submit an Annual Performance Report (APR) within 60 days of the end of the program year. The APR shall contain the information required below and narrative statements as needed.

The Annual Performance Report consists of the following parts:

- Cover sheet - General information on the tribe or TDHE
- Part I - Reporting on the One-Year Indian Housing Plan
 - Table I - Sources of Funds
 - Table II - Uses of Funds
- Part II - Reporting on Program Year Accomplishments
 - Section A - Monitoring
 - Table III - Inspection of Assisted Housing
 - Section B - Audits
 - Section C - Public Accountability
 - Section D - Jobs Created by NAHASDA

Part I (including Tables I and II) – One separate part must be submitted for each open grant.

Part II (including Sections A, B, C, and Table III) – This part is not grant specific. A single part is to be prepared and submitted at the end of each program year and will cover all open grants.

Part D (including Table IV) – This part is optional.

PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number:

Enter the date HUD notified you that your IHP was found in compliance: 09/13/10
(mm/dd/yy)

Is this the final APR for this grant? Check one: yes no

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

- Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

Note: Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

GOAL #1		Goal as identified in the IHP: Maintain and modernize rental units, grounds and support facilities of 1937 Act housing stock to meet the existing needs of RVIHA families.
	Objective #1	Objective as identified in the IHP: Maintain and modernize rental units, grounds and support facilities of 1937 Act housing stock to meet the existing needs of RVIHA families.
	Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: RVIHA will continue to maintain and modernize the 1937 Act housing stock through inspections, monthly tenant meetings and annual re-certifications. This will be an on-going process.
	Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective:

	This is an ongoing activity. RVIHA will continue to maintain and modernize the 1937 Act housing stock through inspections, monthly tenant meetings and annual re-certification.
No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No activity at this time.

GOAL # <u>1</u>		Goal as identified in the IHP: Maintain and modernize rental units, grounds and support facilities of 1937 Act housing stock to meet the existing needs of RVIHA families.
	Objective # <u>2</u>	Objective as identified in the IHP: Continue the Model Activity to provide maintenance funding for the new Little Lake Way housing units.
	Performance Objective # <u>1</u>	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Continue to maintain NAHASDA units and to secure landscaping for Little Lake Way.
	Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective:
	No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP. No activity to report at this time.

GOAL # <u>2</u>		Goal as identified in the IHP: Finish with the 1st phase of the Tribal Winds housing development. Construct up to 8 low-rent single-family units. Beginning the 2nd phase of Tribal Winds will consist of the construction of up to three townhouse buildings, and
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		additionally construct up to four low rent single family units.
	Objective #1	Objective as identified in the IHP: Continue with construction for up to 8 low rent single family units.
	Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Construct up to 8 low-rent single-family homes and up to 12 town house units on the new development.
	Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Phase 1 of Tribal Winds Development is complete. Phase 2 constructions of up to 8 single family units is nearing completion, expected finish date is January 2011. RVIHA was approved for first round funding of the USDA 515 Multifamily homes and has applied for the second round to supplement the townhouses development. RVIHA is currently waiting for a Title Status Report from the BIA Central California Agency to be completed before funding can be released.
	No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities. New IHP No Activity at this time. New IHP No activity at this time.

GOAL #2		Goal as identified in the IHP: Finish with the 1st phase of the Tribal Winds housing development. Construct up to 8 low-rent single-family units. Beginning the 2nd phase of Tribal Winds will consist of the construction of up to three townhouse buildings, and additionally construct up to four low rent single family units.
	Objective #2	Objective as identified in the IHP: Continue to provide all necessary project management and oversight by the project manager, General Contractor,

	owner's representative and inspection to achieve a cost effective quality project.
Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Construct up to 8 low-rent single-family homes and up to 12 town house units on the new development site.
Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Phase 1 of Tribal Winds Development is complete. Phase 2 constructions of up to 8 single family units is nearing completion, expected finish date is January 2011. RVIHA was approved for first round funding of the USDA 515 Multifamily homes and has applied for the second round to supplement the townhouses development. RVIHA is currently waiting for a Title Status Report from the BIA Central California Agency to be completed before funding can be released.
No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No activity at this time.

GOAL # 2	Goal as identified in the IHP: Finish with the 1st phase of the Tribal Winds housing development. Construct up to 8 low-rent single-family units. Beginning the 2nd phase of Tribal Winds will consist of the construction of up to three townhouse buildings, and additionally construct up to four low rent single family units.
Objective #3	Objective as identified in the IHP: In Phase 2 we will construct up to three townhouse buildings and up to four low rent single family units. In Phase 3, preparation for the Tribal Winds construction of up to 8 low rent single family units.
Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Construct up to 8 low-rent single-family homes and up to 12

	town house units on the new development site.
Accomplishment(s)	<p>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</p> <p>Phase 1 of Tribal Winds Development is complete. Phase 2 constructions of up to 8 single family units is nearing completion, expected finish date is January 2011. RVIHA was approved for first round funding of the USDA 515 Multifamily homes and has applied for the second round to supplement the townhouses development. RVIHA is currently waiting for a Title Status Report from the BIA Central California Agency to be completed before funding can be released.</p>
No accomplishment	<p>If no progress was made, explain why not and describe what you will do to complete the activities:</p> <p>New IHP No activity at this time.</p>

GOAL # <u>2</u>		<p>Goal as identified in the IHP:</p> <p>Finish with the 1st phase of the Tribal Winds housing development. Construct up to 8 low-rent single-family units. Beginning the 2nd phase of Tribal Winds will consist of the construction of up to three townhouse buildings, and additionally construct up to four low rent single family units.</p>
	Objective # <u>4</u>	<p>Objective as identified in the IHP:</p> <p>Develop and install a landscaping plan for the new units at Tribal Winds.</p>
	Performance Objective # <u>1</u>	<p>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</p> <p>To secure landscaping for Tribal Winds Development</p>
	Accomplishment(s)	<p>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</p> <p>Landscaping ideas are still in consideration due to the soil and water availability.</p>

No accomplishment	<p>If no progress was made, explain why not and describe what you will do to complete the activities:</p> <p>New IHP No activity at this time.</p>

GOAL # <u>3</u>		<p>Goal as identified in the IHP:</p> <p>Continue oversight and administration of programs for rental assistance, homebuyer assistance, financial skills training and rehabilitation of substandard housing units.</p>
	Objective # <u>1</u>	<p>Objective as identified in the IHP:</p> <p>Provide a continuity of services. Review existing programs and prioritize for level of service by need as supported by waiting lists, applications and feedback from the community gathered during public meetings such as our annual Community Meetings.</p>
	Performance Objective # <u>1</u>	<p>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</p> <p>Continue to operate and enhance housing services through the Rehabilitation, Rental Assistance and Down-payment Assistance Programs.</p>
	Accomplishment(s)	<p>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</p> <p>All of these activities are ongoing. All programs and policies and procedures are reviewed and revised annually as needed.</p>
	No accomplishment	<p>If no progress was made, explain why not and describe what you will do to complete the activities:</p> <p>New IHP No Activity at this time.</p>

GOAL # <u>3</u>		<p>Goal as identified in the IHP:</p> <p>Continue oversight and administration of programs for rental assistance, homebuyer assistance, financial skills training and rehabilitation of substandard housing units.</p>
	Objective # <u>2</u>	<p>Objective as identified in the IHP:</p> <p>Update policies as necessary to ensure applicants receive high quality and high content customer service. Continue rental assistance including college housing.</p>
	Performance Objective # <u>1</u>	<p>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</p> <p>The Resident Housing Coordinator, Maintenance Manager, Executive Director and the Housing Program Specialists will collaborate as necessary to provide Housing Services for RVIHA families for capacity building and education of residents in routine maintenance and care of their homes. This will be an ongoing activity.</p>
	Accomplishment(s)	<p>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</p> <p>All of these activities are ongoing. All programs and policies and procedures are reviewed and revised annually as needed.</p>
	No accomplishment	<p>If no progress was made, explain why not and describe what you will do to complete the activities:</p> <p>New IHP No activity at this time.</p>

GOAL # <u>4</u>		<p>Goal as identified in the IHP:</p> <p>Provide youth activities, educational, mentoring, tutoring and recreation, drug elimination and community activities utilizing all available resources.</p>
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Objective #1	Objective as identified in the IHP: Continue with youth activities and community service projects; coordinate with UNITY. Through UNITY, foster youth leadership development and participation in Tribal governance as well as the undertaking of community service activities. Promote the benefits of developing career goals supported by strong academic skills. Utilize all available resources to enhance interaction with RVIHA youth that will result in higher educational activities and future employment opportunities while educating youth about the dangers of drugs, alcohol and gang violence.
Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: RVIHA will continue to operate the Building Horizons Program for youth activities, educational and community service projects. The Building Horizons Program has begun a local chapter of UNITY, teaching and emphasizing youth leadership development. The Building Horizons Program will also pursue the development of a Boys & Girls Club chapter or that of a similar organization. The Building Horizons program is an ongoing activity.
Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Many of these activities listed here are activities that take place throughout the year to address all of these components.
No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No activity at this time.

GOAL # 4	Goal as identified in the IHP: Provide youth activities, educational, mentoring, tutoring and recreation, drug elimination and community activities utilizing all available resources.
Objective #2	Objective as identified in the IHP:

	Provide community/youth service activities for facilitating and fostering communication both among and between residents and the housing staff.
Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: RVIHA will continue to operate the Building Horizons Program for youth activities, educational and community service projects. The Building Horizons Program has begun a local chapter of UNITY, teaching and emphasizing youth leadership development. The Building Horizons Program will also pursue the development of a Boys & Girls Club chapter or that of a similar organization. The Building Horizons program is an ongoing activity.
Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: The UNITY has been established. Building Horizons activities are ongoing. A computer lab has been established and utilized on a daily basis. Tutoring is ongoing and other classes are being offered. Outreach continues to include other community organizations to enhance collaborative activities with local youth.
No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No Activity at this time.
GOAL # 5	Goal as identified in the IHP: Acquire and/or rehabilitate homeownership housing.
Objective #1	Objective as identified in the IHP: Proceed to acquire and/or rehabilitate homeownership housing.
Performance Objective #1	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: To continue to provide Down-payment Assistance Programs. RVIHA will provide Home Buyer Education Classes and Financial Management Classes. The Housing Programs Specialist provides financing information and assistance to applicants. Policies that govern these

	programs will be reviewed annually and updated or revised as necessary.
Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: All programs are ongoing. Applications are received and processed on an ongoing basis. Policies are reviewed and updated as needed.
No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No activity at this time.

GOAL # <u>5</u>		Goal as identified in the IHP: Acquire and/or rehabilitate homeownership housing.
	Objective # <u>2</u>	Objective as identified in the IHP: Provide relocation modules for temporary, short term relocation of families during rehabilitation work.
	Performance Objective # <u>1</u>	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Continue to operate and enhance housing services through the Rehabilitation.
	Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: RVIHA Continues to operate three relocation units that provide temporary short term relocation for families.
	No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No activity at this time.

GOAL # <u>6</u>		Goal as identified in the IHP: Plan and Implement an Emergency Response Team (ERT).
	Objective # <u>1</u>	Objective as identified in the IHP: Develop a plan to integrate emergency services with the RVIHA Security Force.
	Performance Objective # <u>1</u>	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Plan and develop and emergency response team to integrate with the RVIHA Security Force.
	Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective: The RVIHA attends all monthly Mendocino County Sheriffs community meetings and maintains continual communication with the RVIT Tribal Police Department in our efforts to develop an emergency response team.
	No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP No activity at this time.

GOAL # <u>7</u>		Goal as identified in the IHP: Plan and develop a Renewable Energy Resource for Sustainable Housing.
	Objective # <u>1</u>	Objective as identified in the IHP: Develop a plan utilizing renewable resources.
	Performance Objective # <u>1</u>	Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Plan and develop renewable energy resource for sustainable housing.

Accomplishment(s)	Progress made toward completion of the goal and objective(s) as they relate to this performance objective:
No accomplishment	If no progress was made, explain why not and describe what you will do to complete the activities: New IHP. No activity to report at this time.

2.

Repeat this format for each one year goal and objective(s) and performance objective(s) in the IHP for this grant.

2. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one: yes no

3. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

4. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

We continue to monitor the efficacy of our programs on a continuous basis. Internal review helps us to see whether a program is meeting its intended goals and objectives or whether it needs to be modified or phased out. We also utilize the tools provided in the Self-Monitoring Compliance Assessment Guidelines to assist us in better evaluating our programs, services and organization.

Grant Number:

10IH0608630

Table I - Sources of Funds

Sources of Funds for IHBG Activities	Planned Amount (from the IHP)	Amount Actually Awarded
(a)	(b)	(c)
1. HUD Resources		
a. NAHASDA Block Grant	3,041,924.00	3,041,924.00
b. NAHASDA Program Income	9,600.00	
c. NAHASDA Title VI (Federal Guarantee)		
d. Section 184 Loan Guarantee		
e. Indian Community Development Block Grant		
f. Drug Elimination		
g. Prior year funds		
h. Other (explain in narrative)		
2. Existing Program Resources		
a. 1937 Housing Act Programs		
b. Other HUD Programs		
3. Other Federal or State Resources		
a. BIA Home Improvement Program		
b. Other (explain in narrative)		
4. Private Resources		
a. Tribe		
b. Financial Institution		
c. Other (explain in narrative)		
5. Other (explain in narrative)		
Total Resources	3,051,524.00	3,041,924.00

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

Grant Number:

10IH0608630

Table II - Uses of Funds

Activity	Budgeted Amount (from the IHP)	Cumulative			
		Grant (IHBG) funds expended	Other funds expended	Total funds expended from all sources (c + d)	Percentage of IHBG Grant Amount Obligated
(a)	(b)	(c)	(d)	(e)	(f)
1. Indian Housing Assistance (1937 Housing Act units)					
a. Modernization	40,000.00				
b. Operating	512,020.00				
2. Development					
a. Rental					
i. Construction of new units	0				
ii. Acquisition	1,012,672.00				
iii. Rehabilitation	0				
b. Homeownership					
i. Construction of new units	0				
ii. Acquisition	250,000.00				
iii. Rehabilitation	234,752.00				
3. Housing Services	142,160.00				
4. Housing management services	10,000.00				
5. Crime Prevention & Safety	241,535.20				
6. Model Activities	0				
7. Planning & administration	608,384.80				
8. Reserves	0				
9. Other	0				
Total	3,051,524.00				

Table II (continued)

Activity	Number of units planned (from the IHP)	Cumulative				
		Number of units completed	Number of units started not completed	Number of families assisted		
				low-income Indian families	non low-income Indian families	non-Native American
(a)	(g)	(h)	(i)	(j)	(k)	(l)
1. Indian Housing Assistance (1937 Act units)						
a. Modernization	0					
b. Operating	0					
2. Development						
a. Rental						
i. Construction of new units	0					
ii. Acquisition	0					
iii. Rehabilitation	0					
a. Homeownership						
i. Construction of new units	0					
ii. Acquisition	0					
iii. Rehabilitation	0					
3. Housing Services						
4. Housing Management Services						
5. Crime Prevention & Safety	0					
6. Model Activities	0					
7. Planning & administration						
8. Reserves	0					
9. Other	0					
Total	0					

Narrative:

1. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.
N/A

2. Explain any unexpected cost overruns associated with IHBG funds.

3. N/A

4. Investments: Date HUD approved: _____ N/A _____
(mm/dd/yy)

Amount approved for investment: \$ _____ 0 _____

Amount of IHBG funds (principal only) invested as of this reporting period end date:
\$ _____ N/A _____